CTAS FY 2020: Funding for Wellness Courts





Presenter

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San Manuel Band of Mission Indians Tribal Legal Development Clinic Director, UCLA School of Law

BJA Adult Drug Court RFP

Implementation

Enhancement

To develop drug courts that effectively integrate

- evidenced-based substance abuse treatment,
- mandatory drug testing,
- sanctions and incentives, and
- transitional services in a judicially supervised court setting with jurisdiction over substance-abusing offenders

BJA Adult Drug Court: Length and Duration

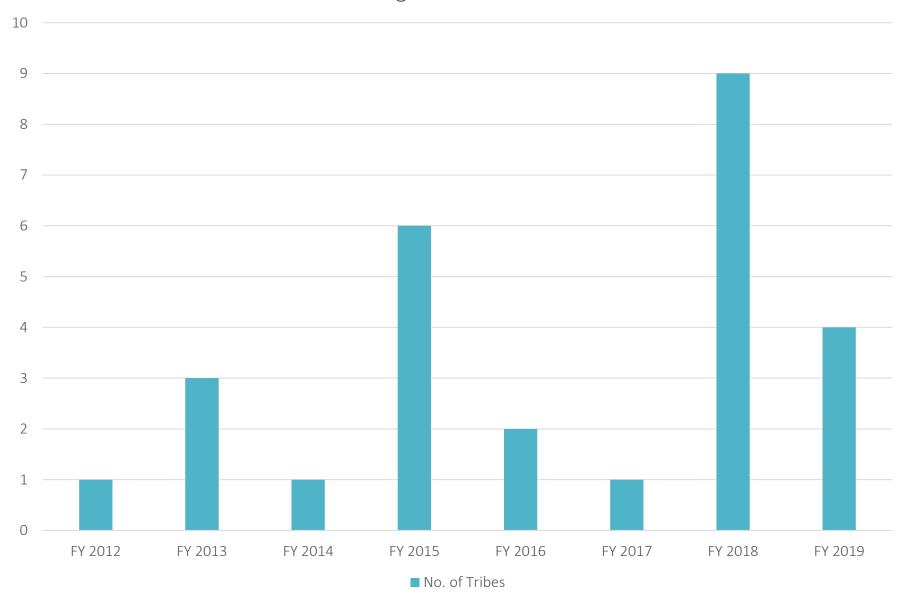
Implementation grant \$500,000 for 48 months

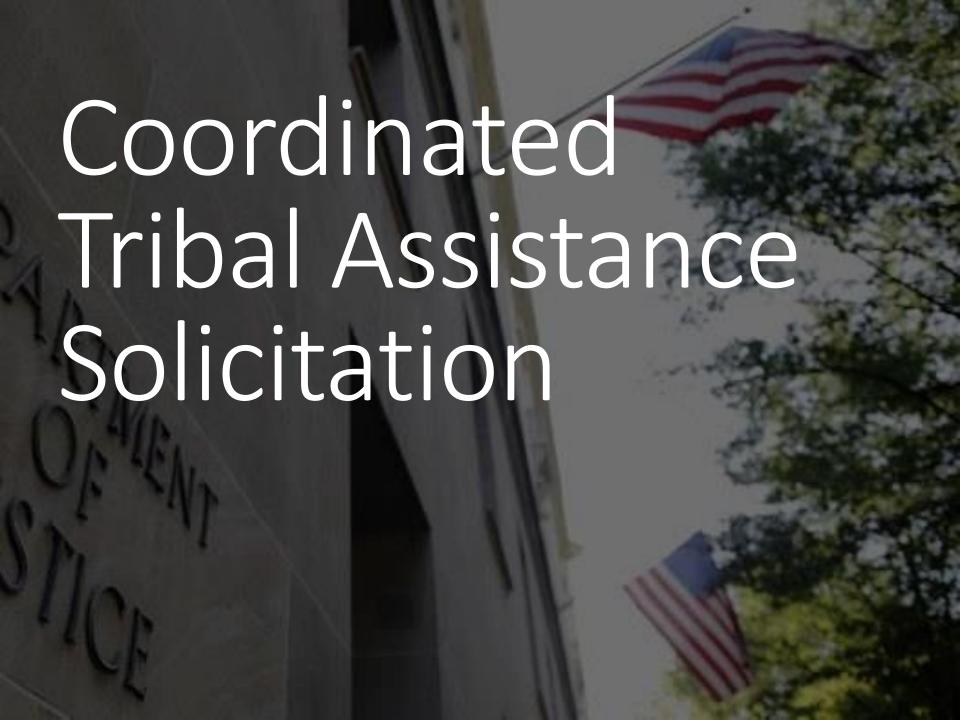
Enhancement grant up \$500,000 for 36 months

operational at least one year and are planning to:

- (1) expand the number of participants
- (2) expand the target populations,
- (3) enhance court operations,
- (4) enhance court and/or supervision services, and/or
- (5) enhance recovery support services.

BJA Adult Drug Court – Tribal Awardees





CTAS

Launched in FY 2010

Federally-recognized tribes submit a single application for most of DOJ's Tribal grant programs.

www.justice.gov/tribal

CTAS

INCLUDED

Office of Community Oriented Policing Services (COPS)

Bureau of Justice Assistance (BJA)

Office on Violence Against Women (OVW)

Office for Victims of Crime (OVC)

Office of Juvenile Justice and Delinquency Prevention (OJJDP)

NOT INCLUDED

OVC Tribal Victim Services

OVW Tribal Coalitions

OVW Tribal Sexual Assault Services Program

T/TA Programs

BJA Tribal Civil and Criminal Legal Assistance

Non-Tribal Government-specific grants

Major Changes from Last Year

No Purposes Areas 7 or 10

7: Tribal Victim Services Program (OVC)

10: Addressing Violent Crime in Tribal Communities (BJA)

Extension of Grant Terms from 3 years to 5 years

Current OJJDP-grantees now eligible to apply



CTAS Pros

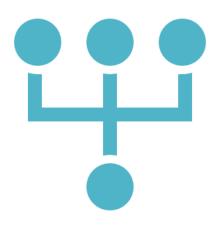
- •Tribal specific RFP/funding source
- •Specific references to Wellness Courts in Purpose Areas #3, and #8
- Peer reviewers will have tribal court knowledge
- •Peer reviewers will have some Wellness Court knowledge (PA #8)
- More flexibility to design a Wellness Court to meet community needs (PA #3)
- No match requirement
- Can weave together strategic plan objectives
- Most tribes are already planning to submit CTAS proposal

CTAS Cons

- Purpose Area 3 has a large scope and a lot of competition
- Not Healing to Wellness Courtspecific
- Purpose Area 8 is narrow and limited
- Complex CTAS application process with many moving parts requiring substantial intra-tribal coordination
- Limited page and formatting requirements



Eligibility



Federally recognized Tribe

Tribal consortia (2 or more tribes)

A tribal consortia member can also submit its own independent application, so long as the activities are distinct

No longer need to provide tribal authority

Unless applying on behalf of multiple tribes

Eligibility Changes

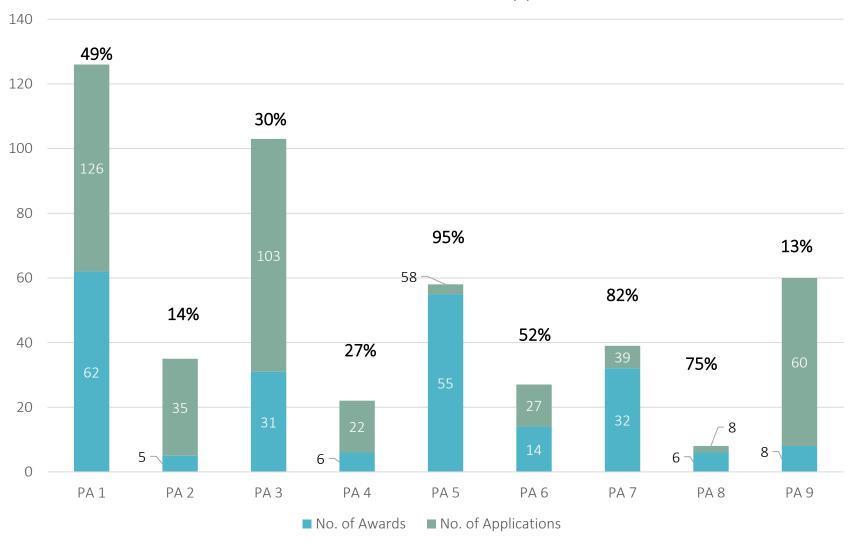
PA 1: No longer need an established law enforcement agency

PA 8: No longer limitation on currently-funded OJJDP-funded Juvenile HTWC grantees

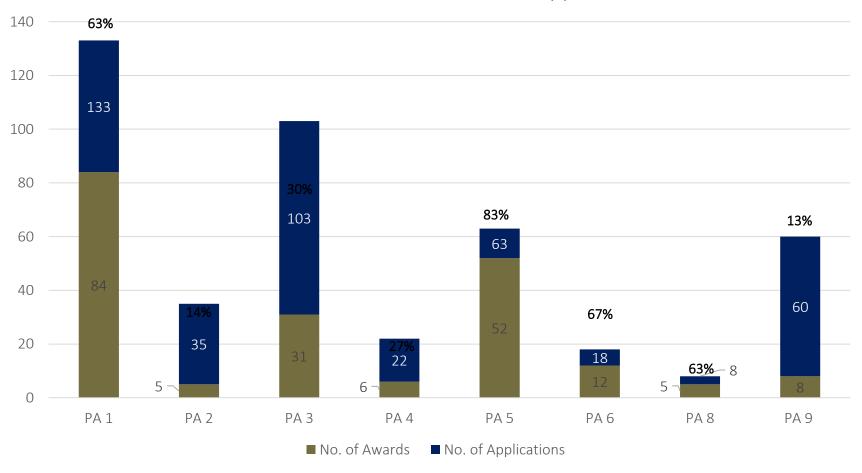
PA 9: No longer limitation on currently-funded OJJDP TYP grantees; now 2 categories

- 1: Develop a new tribal youth program
- 2: Continue or enhance existing tribal youth program

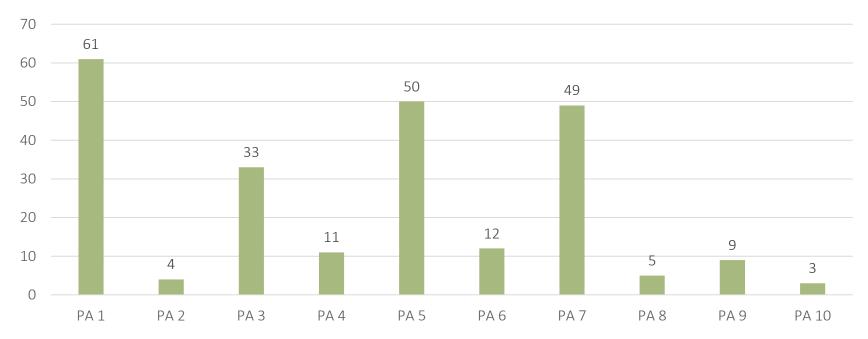
FY 2018 CTAS No. of Awards and Applications



FY 2019 CTAS No. of Awards and Applications



FY 2019 Number of CTAS Awards



- 1. Public Safety and Community Policing (COPS)
- 2. Comprehensive Tribal Justice System Strategic Planning (BJA, COPS, OJJDP, OVW, and OVC)
- 3. Tribal Justice Systems Program (BJA)
- 4. Tribal Justice System Infrastructure Program (BJA)
- 5. Tribal Governments Program (OVW)
- 6. Children's Justice Act Partnerships for Indian Communities (OVC)
- 7. N/A
- 8. Juvenile Tribal Healing to Wellness Courts (OJJDP)
- 9. Tribal Youth Program (OJJDP)

FY 2020 CTAS PURPOSE AREAS

The Application

Application Overview

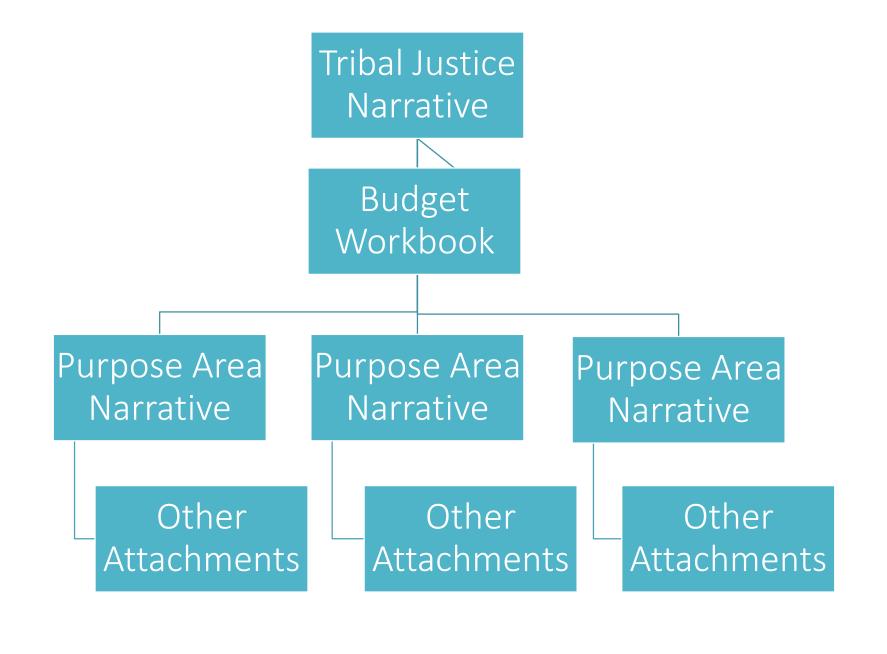
Tribal Community and Justice Profile (30%)

Purpose Area Abstract

Purpose Area Narratives (50%)

Timeline (5%)

Budget Workbook (15%)



CTAS Application Cover Sheet

Using this document, applicants must include a high-quality project abstract for each purpose area under, which the applicant is request funding. It should summarize the proposed project in 500 words or less (see additional question on page 3). Project abstracts should be

- a. written for a general public audience;
- b. single-spaced, using a standard 12-point font (Times New Roman) with 1-inch margins.

The abstract should include a brief description of the project's purpose, the population to be served, and the activities that the applicant will implement to achieve the project's goals and objectives. The abstract should be specific to the project proposed for the respective purpose area(s). See the following required formats based on applicant type.

For all purpose areas with the exception of OVW's Purpose Area 5, it is required that applicants use one of the following formats. For applicants intending to apply to OVW's Purpose Area 5, please see page 2.

The application cover sheet will not be scored.

Federally Recognized Tribe

[Insert Applicant Legal Name] is a federally recognized tribe located in [Insert county, state]. With this funding, [Insert Name of the Program] will: [Insert itemized goals of project].

Consortium of Federally Recognized Tribes

[Insert Applicant Legal Name] is a consortium of federally recognized tribes located in [Insert county, state]. [Insert Applicant Legal Name] represents the following tribes for the purposes of this grant application [Insert name of federally recognized tribes]. With this funding, [Insert Name of the Program] will: [Insert itemized goals of project].

Authorized Designee

[Insert Applicant Legal Name] is a [select "tribal organization" or "tribal nonprofit"] located in [Insert county, state]. [Insert Applicant Legal Name] is a duly authorized designee of [Insert name of federally recognized tribe(s) the applicant is designated by], a federally recognized tribe. The tribe has (1) authorized [Insert Applicant Legal Name] to submit an application on behalf of the tribe and (2) stated its support for the project and its commitment to participate in the project upon receiving this funding. With this funding. [Insert Name of the Program] will: [Insert itemized goals of project].

Tribal Community and Justice Profile	
Applicant Name:	

Tribal Narrative Profile (30%)

You may enter as much or as little text as you need to fully describe your community as long as the total number of pages for the entire Tribal Narrative Profile does not exceed 15 pages. Remember that the individuals reviewing the application may not be familiar with the community, so descriptions should be as clear and detailed as possible. Be sure that every question is answered. If there are questions that you are unable to answer, provide an explanation as to why the information is unavailable.

- 1. Describe the general form of your Tribal Government.
- Identify one or more of the crime and public safety problems that the tribe plans to address through the proposed grant funding and describe the problem(s) with as much additional detail, including data, as necessary to clearly describe the nature and extent of the problem(s). Include a description of current or previous efforts, if any.
- 3. Briefly describe the tribal justice system, including prevention or intervention initiatives for members at risk of involvement or already involved in the justice system (youth or adult); law enforcement, including any cross-jurisdictional agreements; courts; alternative dispute resolution; corrections, including juvenile detention facilities; services for victims and survivors of crime (e.g., violent crime, non-violent crime, drug-related crime, child abuse, elder abuse, domestic violence, sexual assault, dating violence, stalking, human trafficking, identity theft, etc.]; tribal reentry programs; and sex offender registry obligations. If services are not available within the tribe, please describe how they are accessed or provided.
- 4. Describe the significant tribal justice, community safety, juvenile delinquency, and victimization issues (e.g., child abuse, elder abuse, domestic violence, sexual assault, sex trafficking, dating violence, stalking, identity theft, non-violent crime, etc.) facing the tribal nation and explain why these issues are the most pressing. Ensure that the problems described are connected to the purpose area(s) for which the tribe is applying. For example, if the tribe is applying for Purpose Area #1 (COPS Tribal Resources Grant Program-Hiring and Tribal Resources Grant Program-Equipment/Training), the tribe should discuss law enforcement related issues. If the tribe is applying for multiple purpose areas, all of the relevant issues should be identified in this portion of the profile.
- Describe current and future plans to comprehensively address the tribe's public safety, criminal
 and juvenile justice, or victimization issues (e.g., violent crime, non-violent crime, drug-related
 crime, child abuse, elder abuse, domestic violence, sexual assault, dating violence, stalking,
 identity theft, human trafficking, etc.).
- Address the tribe's need for financial assistance and the inability of the agency to implement the proposed plan without federal funding.
- Discuss any additional information about your tribe that would be important in the understanding and evaluating your application.

FY 2020 Page 1

Tribal Community and Justice Profile

30%

15 pages (up from 10)

7 questions (up from 5)

Describe the community's

Strengths

Resources

Challenges

Needs

Each question should be answered!

Tribal Community and Justice Profile

- 1. Describe the form of Tribal Government
- 2. Identify the crime and public safety problems.
- 3. Describe the Tribal Justice System
- Describe the significant tribal justice, community safety, juvenile delinquency, or victimization issues
- 5. Describe current and future plan to address these issues
- Address the need for financial assistance.
- 7. Any other context

Project/Program Timeline

Timeline should encompass

entire federal project period

indicates <u>objectives</u> and <u>major tasks</u>

assigns responsibility for each, and plots completion of each task (by year and month or quarter)

Separate timelines for each Purpose Area or one comprehensive Timeline.

CTAS Sample Application Timeline(s)

Applicants are required to	use this single tem	plate to submit a detail	ed timeline fo	or all purpose areas under which th	ney are requesting funding
The timeline should encom	pass the entire per	iod of performance for	the propose	d project that indicates objectives	and major activities and
assigns responsibility for ea	ach and expected co	ompletion of each task	by year and t	hen by month or quarter for the du	uration of the award. Use
"Year 1," "Month 1," "Qua	rter 1," etc., not ca	lendar dates. If awarde	d, it is expect	ed that throughout the life of the a	award(s), applicants will
update the timeline(s) as a	ppropriate when ch	nanges occur.			
*Note: There is no page lis	mit restriction and	applicants can take as	much space	as needed to create an effective ti	imeline.
Purpose area 1					
Purpose area 1 Estimated start of task	Project goals	Related objectives	Activities	Expected completion of task	Person responsible fo completing each task
200127.815 (20)	Project goals	Related objectives	Activities	Expected completion of task	
200127.815 (20)	Project goals	Related objectives	Activities	Expected completion of task	Person responsible fo completing each task
200127.815 (20)	Project goals	Related objectives	Activities	Expected completion of task	
200127.815 (20)	Project goals	Related objectives	Activities	Expected completion of task	
200127.815 (20)	Project goals	Related objectives	Activities	Expected completion of task	

FY 2020

Sample Timeline

Budget Detail Workbook

15%

The Budget Detail Workbook includes the

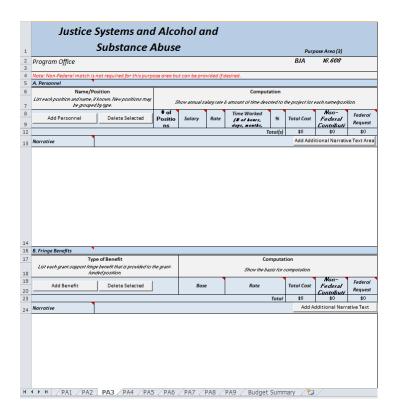
Demographic Form;

The Budget Detail Worksheet(s); and

The Budget Narrative(s)

Only one workbook per completed application

Download fillable version from GMS



BUDGET NARRATIVE(S) AND WORKSHEETS

Budget Summary Note: Any errors detected on this page should be fixed on the Purpose Area specific tab.	4	A	В	С	D	Е	F	G	н	1	J	K
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Page				16.608								
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BUDGET SUMMARY



Purpose Area Narratives

Applicants must submit a <u>separate</u> narrative for each Purpose Area.

Template questions:

Problem identification and problem solving strategy

Project/program design and implementation

Capabilities and competencies

Impact/outcomes and evaluation/plan for collecting data for performance measures

Each Purpose Area has a unique template

10-15 pages

Purpose Area #3: Justice Systems Program (BJA)

Tribal Courts Assistance Program (TCAP)

Indian Alcohol and Substance Abuse Prevention Program (IASA)

Purpose Area	Estimated Amount of Funding Available	Estimated Number of Awards to be made; Estimated Award Amounts	Length of Award
3) Justice Systems and Alcohol and Substance Abuse (BJA)	\$22.1 million (up from \$16.8 million)	Approximately 20-30 awards; Approximately \$250,000- \$750,000 per award.	5 years (up from 3 years)





Purpose Area #3: Justice Systems Program (BJA)

To develop, enhance, and continue tribal justice

To respond to and prevent alcohol- and substance abuse-related crime, including ... healing to wellness courts

Projects can focus on tribal justice systems, alcohol or substance abuse, or both

PURPOSE AREA #3: NARRATIVE

۱p	plicant Name:
u	rpose Area #3 Tribal Justice Systems (BJA)
m	plementation point of contact (name, title, email, and phone):
N	arrative
	urpose Area #3 Narrative (10–15 pages including template text;
	riswers should be double-spaced)
l.	Describe any current gaps in services related to the problem(s) you identified in the Tribal Community and Justice Profile that will be addressed through this grant application.
2.	Describe current or previous efforts to address the problem(s) you identified in the Tribal Community and Justice Profile that will be addressed through this application and state whether they were effective.
3.	Describe how the proposed grant-funded program will address the identified problems.
l.	Describe the management structure, staffing, and in-house or contracted capacity to complete each of the proposed projects, and any organizational changes that may result if funding is awarded. Include detailed information about existing resources within the tribe and the community that will help make this project a success.
j.	Identify current government and community initiatives that complement or coordinate with the proposal and any partnerships that will be created or enhanced as a result of funding. Describe the roles of each identified partner. Examples may be advisory boards, tribal leaders, nonprofits, private organizations, regional relationships, etc.
i.	Describe how the applicant will know if the program works and how success will be determined and measured. Describe how data will be collected and assessed to measure the impact of project.
	i. What will be measured?
	ii. How will data be collected?
	iii. Who is responsible for collecting the data?
	iv. How is success defined?
7.	Describe how evaluation, collaborative partnerships, or other methods will be used to leverage ongoing resources and facilitate a long-term strategy to sustain the project when the federal grant ends. Describe any challenges you anticipate in sustaining the program beyond the grant funding.
3.	Will this project serve adults, juveniles or both?
).	Please check all of the areas that are a <i>primary focus</i> of this application.
	☐ Addressing methamphetamine
	☐ Addressing the opiate epidemic
	☐ Alcohol and substance abuse

Structuring the Narrative

Consider BJA's Adult Drug Court RFP for guidance

Describe goals and objective—link to key components

How will the court identify, assess, and prioritize participation

Describe your randomized drug testing process

Describe the frequency of judicial hearings

Describe how you will ensure a perception of procedural fairness

Describe your evidenced-based treatment

Describe how the community has been engaged in the planning process

10-15 pages

9 questions (down from 12)

CTAS Purpose Area #8:

Juvenile Healing to Wellness Courts (OJJDP)

Purpose Area	Estimated Amount of Funding Available	Estimated Number of Awards to be made; Estimated Award Amounts	Length of Award
8) Juvenile Healing to Wellness Courts	\$2 million (up from \$1.75 million)	Approximately 6 awards; Up to \$350,000 per award.	5 years

No violent offenders.

Purpose Area 8



Goals and Objectives Juvenile Healing to Wellness Courts (OJJDP)

Purpose Area 8: Goals and objectives are no longer broken down by year.

Removes:

"Establish a multidisciplinary steering committee to lead the planning and implementation of the Juvenile Tribal Healing to Wellness Court."

No longer excludes current OJJDP-funded grantees.

Still includes violent offender prohibition

Still includes strategic planning period

Purpose Area:

15 pages (up from 10) 9 questions (down from 10)

Purpose Area Narrative

Juvenile Healing to Wellness Courts (OJJDP)

Applicant Name	App	icant	N	ame
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Purpose Area #8 Juvenile Healing to Wellness Courts (OJJDP)

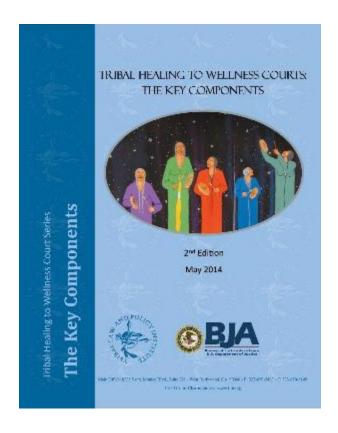
Implementation point of contact (name, title, email, and phone):_

Narrative

Purpose Area #8 Narrative (15-page limit including template text; answers should be double-spaced)

- Identify alcohol or other drug abuse problems, specifically opioid abuse, that require the services of a Healing to Wellness Court. Describe the problem(s) in detail.
- Describe current or previous efforts, if any, to address problem(s) identified in item #1, and state whether they were effective. Also please describe how you measured effectiveness.
- Describe the current gaps in services related to the problem(s) identified in items #1 and #2 that will be addressed through this grant application.
- Explain how your tribe identified and prioritized the need for a Juvenile Healing to Wellness
 Court based on the problem(s) described in #1. This section must also include a description of
 the tribe's current court system.
- Describe how the proposed grant-funded program will address the identified need in item #1.
 Please include a long-term strategy and detailed implementation plan with specific goals and objectives that will be accomplished during the project period.
- Describe the management structure, staffing, and in-house or contracted capacity to complete each of the proposed projects and any organizational changes that may result if funding is awarded.
- Identify current government and community initiatives that complement or coordinate with the
 proposal and any partnerships that will be created or enhanced as a result of funding. Describe
 the roles of each identified partner.
- Describe how the applicant will know if the program works and how success will be determined and measured. Describe how data will be collected and assessed to measure the impact of proposed efforts.
 - i. What will be measured?
 - ii. How will data be collected?
 - iii. Who is responsible for collecting the data?
 - iv. How is success defined?
- Describe how evaluation, collaborative partnerships, or other methods will be used to leverage
 ongoing resources and facilitate a long-term strategy to sustain the project when the federal

The Tribal Key Components



Drug Court Standards

ADULT DRUG COURT BEST PRACTICE STANDARDS

VOLUME I



NATIONAL ASSOCIATION OF DRUG COURT PROFESSIONALS ALEXANDRIA, VIRGINIA

What is a Drug Court? From BJA Drug Court RFP:

Drug courts are part of the larger universe of problem-solving courts, and have been demonstrated (where implemented in an evidence-based manner) to reduce recidivism and substance abuse among high-risk substance abusing offenders and increase their likelihood of successful rehabilitation through:

early, continuous, and intense treatment,

close judicial supervision and involvement (including judicial interaction with participants and frequent status hearings),

mandatory and random drug testing,

community supervision,

appropriate incentives and sanctions, and

recovery support aftercare services.



Objective	Sample Performance Measure(s)	Sample Data Grantee Must Provide
Overarching Objective: Improve the grant planning and application process for DOJ tribal grant applicants.	Number of collaborative partners involved in the comprehensive planning stage.	Number of collaborative partners involved in the comprehensive planning stage (specify by type).

Overarching Objective

Objective	Sample Performance Measure(s)	Sample Data Grantee Must Provide
Purpose Area #3: Justice Systems Program (BJA)	Percent increase in the number of cases handled by Tribal Courts	Number of cases filed in the court during the prior period vs. the # filed during the current period
	Number of full-time equivalent (FTE) judicial and other court	
	positions created or funded under the grant award	Number of arrests for crimes where alcohol or substance abuse was a
	Percent reduction in the number of arrests for crimes where alcohol or substance abuse was a factor	factor for the period prior to grant funding.
	Percent increase in number of individuals receiving treatment services as a result of this program.	Number of individuals receiving treatment services as a result of this program during the current reporting
	https://bjapmt.ojp.gov/help/TCAPIASAPPerformanceMeasures.pdf	period. Etc.

CTAS Purpose Area #3: Justice Systems Program (BJA)

Objective	Sample Performance Measure(s)	Sample Data Grantee Must Provide
Purpose Area #8: Juvenile Healing to Wellness Courts (OJJDP)	Percent of program youth who offend or reoffend (arrested/rearrested).	Number of program youth or families served.
	Percent of program youth or families exhibiting desired change in targeted behaviors (e.g., substance use, antisocial behavior, truancy, gang involvement).	Number of program youth who offend or reoffend. Number of program youth or families exhibiting desired change in targeted behaviors.
	https://www.ojjdp- dctat.org/help/Grids/Word/	

CTAS Purpose Area #8: Juvenile Healing to Wellness Courts (OJJDP)

Possible
Additional Drug
Court Specific
Performance
Measures from
BJA Drug Court
RFP

Objective	Performance Measure	Data Grantees Provide
Improve, enhance, and/or expand drug court services to reduce substance use and recidivism of drug court participants.	Percentage of participants admitted to the program	A. Number of drug court participants that were admitted B. Total number of eligible drug court participants
	Percentage of participants who successfully completed the program	A. Number of participants enrolled in the program B. Number of participants who successfully completed program requirements. C. Total number of successful and unsuccessful completions.

Possible Additional Drug Court Specific Performance Measures from BJA Drug Court RFP

Objective	Performance Measure	Data Grantees Provide
Improve, enhance, and/or expand drug court services to reduce substance use and recidivism of drug court participants.	Percentage of participants who tested positive for illegal substance	A. # of participants in the program for 90 days who tested positive for an illegal substance. B. # of participants in the program for 90 days who
	Percentage of program	were drug tested A. # of drug court
	participants who recidivate while enrolled in the program	participants B. # of participants who recidivate while enrolled in the program.
	Percentage of arrest	C. # of participants who were arrested for drug
	Percentage of program participants who recidivate within one year after completion of the program	offenses. D. # of participants who were arrested for non-drug offenses. E. # of drug court participants who were arrested for non-drug and drug offense one year after program completions.

Common Mistakes

Not responding to all of the requested information

Failed to draw connection between problems in the "tribal community and justice profile" and programmatic activities

Not providing the requested information in relevant section where peer reviewers could easily locate it

Failed to draw the connection between the proposed budget and the programmatic activities

Inconsistencies within proposal – different writers by section

Did not provide specific measurable targets for performance measures

TIPS

- 1. Read the Solicitation and FAQs.
- 2. Check all of the resources available through the CTAS website.
- 3. Use scoring criteria as a general guide for how many pages you devote to each section
- 4. Don't wait until the deadline to apply!
- 5. Follow all format directions and use all of the allotted pages.
- 6. Go back and review the "tribal community and justice profile" after completing the purpose area narratives.
- 7. Go back and review the budget after completing the purpose area narratives.
- 8. Focus on attachments (tribal resolutions, letters of supports, etc.)
- 9. PDF everything!

